

DEPARTMENT OF HEALTH AND HUMAN SERVICES
CENTERS FOR MEDICARE & MEDICAID SERVICES

PRINTED: 05/09/2014
FORM APPROVED
OMB NO. 0938-0391

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION		(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: 15G798		(X2) MULTIPLE CONSTRUCTION A. BUILDING _____ B. WING _____		(X3) DATE SURVEY COMPLETED 02/28/2014	
NAME OF PROVIDER OR SUPPLIER AWS				STREET ADDRESS, CITY, STATE, ZIP CODE 8424 FANTASIA WAY FORT WAYNE, IN 46809			
(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)			ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)		(X5) COMPLETION DATE
W 000	INITIAL COMMENTS This visit was for a fundamental annual recertification and state licensure survey. Date of survey: February 25, 26, 27 and 28, 2014. Facility number: 012577 Provider number: 15G798 AIM number: 201018530 Surveyor: Kathy Wanner, QIDP. The following federal deficiency also reflects a state finding in accordance with 460 IAC 9. Quality Review completed 3/7/14 by Ruth Shackelford, QIDP.			W 000			
W 312	483.450(e)(2) DRUG USAGE Drugs used for control of inappropriate behavior must be used only as an integral part of the client's individual program plan that is directed specifically towards the reduction of and eventual elimination of the behaviors for which the drugs are employed. This STANDARD is not met as evidenced by: Based on record review and interview, the facility failed to include a specific medication as part of a plan of reduction for the management of behaviors and/or symptoms of diagnoses for 2 of 2 sampled clients (clients #1 and #3) who were prescribed medications for management of behaviors. Findings include: Client #1's record was reviewed on 2/28/14 at			W 312			3/30/14

LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE

TITLE

(X6) DATE

Any deficiency statement ending with an asterisk (*) denotes a deficiency which the institution may be excused from correcting providing it is determined that other safeguards provide sufficient protection to the patients. (See instructions.) Except for nursing homes, the findings stated above are disclosable 90 days following the date of survey whether or not a plan of correction is provided. For nursing homes, the above findings and plans of correction are disclosable 14 days following the date these documents are made available to the facility. If deficiencies are cited, an approved plan of correction is requisite to continued program participation.

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W 312	<p>Continued From page 1</p> <p>11:55 A.M. Client #1's Physician's Orders (PO) dated for December 2014 indicated he was prescribed Celexa (anti-depressant/anxiety for depression and Haldol (anti-psychotic) for schizophrenia. Client #1's Behavior Support Plan (BSP) dated 2/17/14 indicated he had the targeted behaviors of, elopement, inappropriate sexual behavior, stealing, physical aggression and agitation. Client #1's BSP indicated "[Client #1's] plan of reduction will be contingent upon [Client #1] meeting his objectives as stated below. When the below objectives are met then the team will recommend to the psychiatrist that a reduction be made. The reduction will not be made if the team headed by the psychiatrist believes that the reduction would have a negative outcome on [Client #1]. Decrease incidents of elopement to 2 incidents/month for 6 consecutive months by 8/17/14. Decrease incidents of elopement to 1 per month for 6 consecutive months by 2/16/15. Decrease inappropriate sexual behavior to 2 incidents/month for 6 consecutive months by 8/17/14. Maintain inappropriate sexual behavior to 0 incidents/month for 6 consecutive months by 2/16/15. Decrease incidents of stealing to 5 incidents/month for 6 consecutive months by 8/17/14. Decrease incidents of stealing to 3 incidents/ month for 6 consecutive months by 2/16/15. Decrease incidents of physical aggression to 3 or less per month for 6 consecutive months by 8/17/14. Decrease incidents of physical aggression to 1 per month for 6 consecutive months by 2/16/15. Client #1's BSP did not indicate which medication would be targeted for possible reduction.</p> <p>Client #3's record was reviewed on 2/28/14 at 1:50 P. M. Client #3's Physician's Orders (PO)</p>	W 312			

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W 312	<p>Continued From page 2</p> <p>dated for December 2014 indicated he was prescribed Depakote (mood stabilizer) for psychotic disorder and Risperdal (anti-psychotic) for schizophrenia. Client #3's Behavior Support Plan (BSP) dated 9/16/13 indicated "[Client #3's] plan of reduction will be contingent upon his meeting his objectives as stated below. When the below objectives are met then the team will recommend to the psychiatrist that a reduction be made. The reduction will not be made if the team headed by the psychiatrist believes that the reduction would have a negative outcome on [Client #3]. Behavioral objective #1: Physical Aggression: [Client #3] will decrease physical aggression incidents to 5 or less each month for six consecutive months (estimated completion date 3/15/14). [Client #3] will decrease physical aggression incidents to 2 or less each month for six consecutive months (estimated completion date 9/15/14). Behavioral objective #2: Vocal Outbursts: [Client #3] will decrease vocal outburst incidents to 5 or less each month for six consecutive months (estimated completion date 3/15/14). [Client #3] will decrease vocal outburst incidents to 2 or less each month for six consecutive months (estimated completion date 9/15/14). Behavioral objective #3: Inappropriate Sexual Behavior: [Client #3] will decrease inappropriate sexual incidents to 5 or less each month for six consecutive months (estimated completion date 3/15/14). [Client #3] will decrease inappropriate sexual incidents to 2 or less each month for six consecutive months (estimated completion date 9/15/14). Client #3's BSP did not indicate which medication would be targeted for possible reduction.</p> <p>An interview was conducted with the Qualified Intellectual Disabilities Professional (QIDP) and</p>	W 312			

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W 312	Continued From page 3 the Residential Director (RD) on 2/28/14 at 3:14 P.M. When asked about plans of reduction for behavior medication, the QIDP stated, "I have a plan of reduction but it does not list a specific medication to be reduced." 9-3-5(a)	W 312			